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- \* Emphasise the complexity of coordination in ATC
- \* Outline NextGen Technologies
- \* Identify some of the common ways in which coordination breaks down
- \* Place these breakdowns in a theoretical framework of team functioning
- \* Examine the extent to which NextGen will change these breakdowns

- \* ATC is a complex coordination system with multiple interacting components (people)
- \* Has both distributed teamwork and co-located teamwork
- \* Has formal (rule-book) and informal (opportunistic) work practices
- \* Is safety-critical

- \* The FAA has forecasted that air traffic in the USA will double over the next two decades
- \* In order to meet this increased level of demand new technologies will need to be introduced
- \* These new technologies promise to provide considerable benefits in terms of
  - enhancing operations
  - \* improving safety
- \* However, there needs to be a thorough human factors evaluation of these systems

- \* Automatic Dependent Surveillance-Broadcast (ADS-B)
- \* System-Wide Information Management (SWIM)
- \* NextGen Data Communications
- \* NextGen Network Enabled Weather (NNEW)
- \* National Airspace System Voice Switch (NVS)

"A breakdown occurs when there is a failure of coordinated decision making that leads to a temporary loss of ability to function effectively."

[Bearman, Paletz, Orasanu & Thomas, 2010, p177]

- \* 15 former air traffic controllers participated in an hour long interview
- \* Interviews were conducted in two parts.
  - \* In part one participants were asked to describe situations involving breakdowns in coordination between the controller and flight crew
  - \* In part two participants were asked a number of general questions about breakdowns and NextGen technologies.
- \* Participants had an average of 28 years of experience and an average age of 55. One participant was female.
- \* The data was analyzed using a bottom-up thematic analysis technique

- \* Adjacent sector controllers
- \* Radar controller (r-side) and assistant (d-side)
- \* Relieving and handing-over controller
- \* Instructors and trainees,
- \* Supervisors and controllers
- \* Oceanic controllers and the service that relayed information to the pilots.

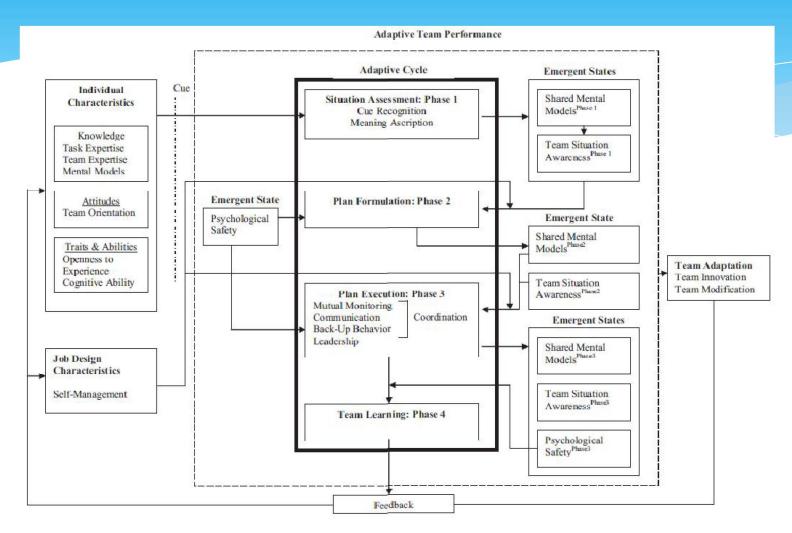
- \* Using non-standard terminology and incorrect format
- \* Saying one thing and meaning something else
- \* Misunderstanding the intent of other controllers
- \* Not being clear about what authority has been transferred when another controller requests control of an aircraft in their airspace

- \* Forgetting to transfer control of aircraft to the next controller
  - \* Changes to the structure of sectors
- \* Neglecting to pass on information during handover
- \* Information about flow rates weren't always passed on to the controller
- \* Neglecting to pass on information that would have been extremely useful to another controller

- \* Neglecting to watch what the other controller was doing when there was an assistant
  - \* D-side controllers acting in unexpected ways
- \* Perceiving information without really comprehending it
- \* Instructors being out of the loop

- \* Different comfort levels with non-standard solutions
- \* Personality
  - \* Ongoing conflict between controllers
  - \* Non-communicative people
  - \* Prickly individuals
- \* Unprofessional behaviour
- \* Expectation
  - \* People taking short-cuts (e.g. dropping call signs)
  - \* Assuming that the other controller will do something

- \* Dividing a sector into two
- \* Aircraft falling between sector boundaries
- \* Handing off an aircraft that does not fulfil the requirements for the next controller
- \* Noise in the control rooms
- \* Incorrect data entry



Burke, Stagl, Salas, Pierce, and Kendall (2006)

- \* It seems likely that NextGen technologies will reduce at least some of these causes of breakdowns because of
  - \* Automation reducing the interaction between controllers
  - \* Datalink communications
  - \* The ability to drag and drop routes
  - \* Common information sources
- \* However, NextGen technologies are still at an early stage of implementation
- \* There are likely to be other issues that are created by NextGen technologies that need to be considered

- \* ATC represents a complex coordination network
- \* A number of causes of breakdowns could be identified
- \* Breakdowns tend to disrupt controllers shared situation awareness
- \* NextGen Technologies will reduce some of these issues